

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS

_____	)	
UNITED STATES OF AMERICA	)	
	)	
	)	
v.	)	Criminal No. 05-10102-JLT
	)	
	)	
JOHN BRUENS, MARY STEWART, MARC	)	
SIROCKMAN, MELISSA VAUGHN	)	
	)	
Defendants.	)	
_____	)	

**GOVERNMENT'S MOTION FOR ENLARGEMENT OF TIME**  
**TO FILE AGREED UPON EXHIBIT LIST**  
**(FILED AT THE REQUEST OF THE DEFENDANTS)**

Now comes the United States of America, by its attorney, Michael J. Sullivan, United States Attorney for the District of Massachusetts, and respectfully requests the Court to enlarge the period of time for which the parties to file an Agreed Upon Joint Exhibit List for one day, until Wednesday, April 11, 2007.

As grounds for this motion, the government states that pursuant to the Court's order of January 16, 2007, the parties were required to file an agreed upon Exhibit List and a separate list of disputed exhibits by April 10, 2007.

On Friday, April 6, 2007, the Government provided the defendants with its proposed Joint Exhibit List. The defendants had possession of the exhibits as part of the extensive discovery provided by the Government over the past two years, including an electronic database of company documents with search

capabilities. The proposed Joint Exhibit List given to the defendants specifically identified the documents by bates stamped numbers. Nonetheless, copies of the actual exhibits were provided on a CD by hand delivery to Attorney Tracy Miner for Marc Sirockman on Monday, April 10<sup>th</sup>, and were sent via Federal Express delivery to counsel for the remaining defendants on Monday, April 10, 2007 for early morning delivery on April 11, 2007.<sup>1</sup>

The parties have conferred as required by L. R. 7.1 regarding this matter. By letter dated April 10, 2007, counsel for the defense advised the Government that they are not in a position to agree upon joint exhibits today. Counsel for the defense, therefore, requested the government to request additional time from the Court for the defendants to further review the documents in order that they can agree upon a list of exhibits. By virtue of this motion, the Government is accommodating the defendants' request.

In the event that this motion is denied, however, the Government herewith files as Exhibit A its Proposed Joint Exhibit List as ordered by the Court. This list has not yet been agreed upon by counsel for the defense.

Accordingly, the government requests one additional day for

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<sup>1</sup> Counsel for Melissa Vaughn advised today that they did not receive the CD of Exhibits until this afternoon due to an incorrect address on the package.

the parties to agree upon and file a Joint Exhibit List on  
Wednesday, April 11, 2007.

Respectfully submitted,

MICHAEL J. SULLIVAN  
United States Attorney

By: /s/ Mary Elizabeth Carmody  
MARY ELIZABETH CARMODY  
SUSAN M. POSWISTILO  
Assistant U.S. Attorneys

CERTIFICATE OF SERVICE

I hereby certify that these documents filed through the ECF  
system will be sent electronically to the registered participants  
as identified on the Notice of Electronic Filing (NEF).

Dated: 04/02/2007

/s/ Mary Elizabeth Carmody  
MARY ELIZABETH CARMODY  
Assistant United States Attorney

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JOHN BRUENS, MARY STEWART, MARC	)	
SIROCKMAN, MELISSA VAUGHN	)	
	)	
Defendants.	)	
_____	)	

**GOVERNMENT’S PROPOSED**  
**JOINT EXHIBIT LIST**

1. Serostim vial [physical exhibit]
2. Serostim starter kit [physical exhibit]
3. Serostim Product Monograph [No bates number]
4. M & IT Sales Org Chart dated 1/25/99 [CUR-02958]
5. Regional Director Position Description [S 0605461 - 0605462]
6. Serono “Spirit of M&IT” Video (9/23/98) [S Vid 05]
7. Mary Stewart E-mail 11/12/98: Important! Five Point Sales Plan for Q4 98 [No bates number]
  - a. Bad Dog Flyer called Bad to the Bone
  - b. Flyer: No Time to Waste; Big Dog Rewards
  - c. Mary Stewart E-mail 11/18/98: “\$10,000 Kicker
8. Franklin Planners (1997-1999) (Physical Exhibits)
9. November 24, 1998 Notes of Conference Call notes [No bates number]
10. November 30 & December Notes of Conference Calls [No bates number]
11. Jackson E-mail to Vaughn 1/12/99 re: South Business Plan [S Elec 0687756-0687757]

12. January 15, 1999: Midatlantic Region Biweekly Update [S 0785790 - 0785792]
13. January 22, 1999 RD Conference Call notes (\$500k short of ex-factory) [No bates number]
14. Abel E-mail to Jackson & RDs 1/27/99 re: Versatile Training - March [S Elec 0574347 - 0574350]
15. January 29, 1999 RD Conference Call (Sales goal \$9.6m/actual \$10.8 mil) notes [No bates number]
16. February 5, 1999 RD Conference Call notes [No bates number]
17. Jackson Memo to Stewart re: Midatlantic Region Bi-Weekly Update - Week Ending 2/10/99 [S Elec 0647196-0647200]
18. February 11, 1999 RD Conference Call notes (sales goal)[No bates number]
19. February 19, 1999 RD Conference Call notes (Ernesto & transition) [No bates number]
20. March 1, 1999-- notes of Boston Harbor Hotel Meeting [No bates number]
21. Vaughn E-mail to Jackson 3/01/99 re: Q 1 Update [S Elec 0657810 - 0657811]
22. Stewart E-mail to Regional Directors, Bruens, Azulay, Hart, Parra, Frank & Bell 3/02/99 re: "We need to generate \$6 M+ in the next 6 Days!!" [S Elec 0694791.001-0694793.001]
23. Jeff Hart E-mail to RD's w/ Top 50 Prescribers with Tracking Mechanism [S Elec 0521288 - 0521294]
24. Jackson Toll Records (Statement Date: March 10, 1999) [S ER JACKS 00050 - 00059]
25. Jackson Toll Records Bell Atlantic 3/09/99 [S 0714871-714879][9 pages]
26. Jackson Travel Expense Report: dated March 6, 1999 [S ER JACKS 00304 - 00323]
27. Transcription of Melissa Vaughn Voice Mail [No bates number]
28. E-mail from Jackson to Stewart 3/03/99 re: Q1 Project [S Elec 0521309]
29. Jackson E-mail 3/03/99 to Stewart, Frank, Azulay, Bruens, Parra & Hart Re: "blitz sheet - Midatlantic region" [S Elec 0679497 - 0679498]
30. Jackson E-mail to Stewart 3/04/99 "Today's Conference Call" [S Elec 0694811-

0694812]

31. Jackson E-mail to Stewart, Hart, Frank, Azulay, Bruens & Parra: Mar 4 Daily Report [S Elec 0212498 - 0212499]
32. Jackson E-mail to Stewart, Hart, Frank, Azulay, Bruens & Parra: Mar 5 Update [S Elec 0212544]
33. March 5, 1999– RD Conference Call [No bates number]
34. Jackson E-mail to Stewart, Hart, Frank, Azulay, Bruens & Parra: Mar 8 Daily Report [S Elec 0212595 - 0212596]
35. Jackson E-mail to Regional Directors 3/09/99 Re: Chart Examples [S Elec 0521420-0521424]
36. Jackson E-mail to Stewart, Hart, Frank, Azulay, Bruens & Parra: Tues Mar 9 Rpt [S Elec 0212619 - 0212620]
37. Jackson E-mail to Sawin 3/09/99 Re: Business Plan [S Elec 0648717]
38. Jackson E-mail to Stewart, Hart, Frank, Azulay, Bruens & Parra: Mar 10th Daily Report [S Elec 0212805 - 0212806]
39. Jackson Memo 3/12/99 Re: Midatlantic Region Bi-Weekly Update Week Ending 3/12/99 [S Elec 0648968-0648969]
40. March 12, 1999: RD Conference Call notes [No bates number]
41. Note to Kim from Ellen Frank re: “Strategic Intent for M&IT in 1999 and beyond!” [No bates number]
42. March 26, 1999 – RD Conference Call notes [No bates number]
43. Jackson E-mail to Abel, RDs and Stewart 4/04/99 re: “Q3 business plan format” [S Elec 0521947 - 0521948]
44. Jackson E-mail to Stewart & Abel: Business Plans [S Elec 0521874 - 0521904] [S 0237495 - 0237524]
45. Jackson Employment Offer dated August 17, 1997 [S 0605459 - 0605460]
46. Promissory Note dated September 26, 1999 [S 0605383 - 0605384]
47. Resignation letter effective September 24, 1999 [S 0605431]

48. Boston Harbor Hotel Records re: March 1 Meeting receipts [No bates number]
49. September 3, 1998 Memo: Wholesaler Plan of Action, Sept-Dec. 1998 [S 0177186-177189]
50. Metabolism Market Demand: February Powerpoint S Elec 0213130 - 0213142]
51. Serostim Demand Data: February 1999 [S Elec 0525273 - 0525322]
52. IMS Market Analysis: February 1999 [S Elec 0521484-0521489]
53. IMS Market Analysis: March 1999 [S Elec 05215155-05215160]
54. Hart E-mail to Sawin, Jackson, Vaughn & Sirockman: March 1, 1999 at 8:22 p.m. at Tracking Sheet [S Elec 0648269 - 0648270]
55. Hart E-mail to RD's and Management dated 03/02/99 (2:57 p.m.) Re: "Top 50 Serostim Retail Prescribers with Tracking Mechanism" [S Elec 0679460 - 0679466]
56. Ogar E-mail to Hart & others dated 03/02/99 (2:57 p.m.) Re: January Retail Data [S Elec 0648281 - 0648311]
57. Hart E-mail to Ogar dated 03/02/99 Re: Q1 Goals [S Elec 0212429-0212436]
58. Vaughn E-mail to Hart dated 03/03/99 Re: "1998/1999 alignment changes [S Elec 0657968-0657988]
59. SIROCKMAN "\$6M-6Day Tracking":
  - a. E-Mail 03/03/99 (10:04 p.m.) "\$6M-6 Day Tracking" with attachment [S Elec 0212464 - 0212465]
  - b. E-Mail 03/04/99 (9:47 p.m.) "Tracking 6M" with attachment [S Elec 0212496 - 0212497]
  - c. E-Mail 03/05/99 (6:36 p.m.) "\$6 Million Tracking" with attachment [S Elec 0212545 - 0212546]
  - d. E-Mail 03/08/99 (11:54 p.m.) "\$6M Tracking" with attachment [S Elec 0212588 - 0212589]
  - e. E-Mail 03/09/99 (8:02 p.m.) "6M Tracking" with attachment [S Elec 0212617 - 0212618]
  - f. E-Mail 03/10/99 (7:13 p.m.) "Tracking 6M" with attachment [S Elec 0212803 - 0212804]
  - g. E-Mail 03/11/99 (8:29 p.m.) "\$6M Tracking" with attachment [S Elec 0679996 - 0679997]

60. VAUGHN: "South Report":
  - a. E-mail 03/04/99 (9:07 a.m.): "South Script Report" with attachment [S Elec 0658022 - 0658023]
  - b. E-mail 03/05/99 (8:37 a.m.): South Report - Mar 4" with attachment [S Elec 0658028 - 0658029]
  - c. E-mail 03/06/99 (9:22 a.m.): "Friday, 3/5 Scripts - South Region" with attachment [S Elec 0658037 - 0658038]
61. STUPAK: "RX's FOR THE DAY":
  - a. E-mail 03/03/99 (10:04 p.m.): "RX's FOR THE DAY" with attachment [S Elec 0679501 - 0679502]
  - b. E-mail 03/05/99 (1:02 a.m.): "RX's for the Day" with attachment [S Elec 0212504 - 0212505]
  - c. E-mail 03/08/99 (12:17 a.m.): "rx's for Friday"with attachment [S Elec 0212552 - 0212553]
  - d. E-mail 03/09/99 (7:09 p.m.): "Rx's for day" with attachment [S Elec 0212615 - 0212616]
62. MUNSON:
  - a. E-mail 03/03/99 (11:23 p.m.): "6600 3, 1/3 Scripts" [S Elec 0212466 - 0212467]
  - b. E-mail 03/04/99 (10:56 p.m.): "6600 scripts total for 3/4" [S Elec 0212502 - 0212503]
  - c. E-mail 03/08/99 (2:01 a.m.): "6600 total 3/5" [S Elec 0212559 - 0212560]
  - d. E-mail 03/08/99 (10:59 p.m.): "6600 3/8 totals" [S Elec 0212586 - 0212587]
  - e. E-mail 3/09/99 (10:17 p.m. ): "6600 totals for 3/9" [S Elec 0212621 - 0212622]
  - f. E-mail 03/11/99 (12:31 a.m.): "6600 total for 3/10" [S Elec 0212809 - 0212810]
  - g. E-mail 03/11/99 (10:53 p.m.): "6600 totals for 3/11" [S Elec 0212823 - 0212824]
63. SAWIN: "West Numbers"
  - a. E-mail 03/04/99 (1:37 a.m.): "West Numbers for 3/3" with attachment [S Elec 0212470 - 0212471]
  - b. E-mail 03/06/99 (12:16 a.m.): "West Numbers 3/5" with attachment [S Elec 0606637 - 0606638]
  - c. E-mail 03/09/99 (1:20 a.m.): "West Numbers 3/8" with attachment [S Elec 0606663 - 606664]
  - d. E-mail 03/11/99 (12:31 a.m.): "West numbers 3/10/99" [S Elec 0606717 - 0606718]
  - e. E-mail 03/13/99 (1:04 a.m.): "Bi weekly from West 3/12/99" [S Elec 0606729 - 0606733]



- 64. March 4, 1999 (8:39 a.m.) E-mail from Hart to Vaughn re: "Final Q1 Goals" [S Elec 0212474]
- 65. March 4, 1999 (4:14 p.m.) E-mail from Vaughn to Hart re: "Quotas et al." [S Elec 0658027]
- 66. March 5, 1999 E-mail from Vaughn to Hart re: "Confusion!" [S Elec 0658035]
- 67. March 5, 1999 E-mail from Vaughn to Hart re: "MORE xfer of Docs [S Elec 0658036]
- 68. March 5, 1999 E-mail from Abel to Hart re: NSM Presentation [S Elec 0212511 - 0212545]
- 69. March 8, 1999 E-mail from Ogar to RD's and management re: "Specialty Provider - Dec vs Jan" [S Elec 0658040-0658043]
- 70. March 9, 1999 E-mail from Ogar to RD's and management re: "January Non-Retail Data" [S Elec 0658044]
- 71. March 11, 1999 E-mail from Parra to Hart Re: "Inventory Sheet" [S Elec 0212825-0212827]
- 72. Vaughn E-mail to Hart 3/15/99 re: COE Bulletin [S Elec 0658074-0658075]
- 73. Agenda for National Sales Meeting: March 15-19, 1999 [S 0128259-0128273]
- 74. March 18, 1999 E-mail from Hart to Vaughn re: "Q1 Goals" [S Elec 0213063]
- 75. March 23, 1999 E-mail from Parra to Hart re: March Wholesale Forecast [S Elec 0213187-0213188]
- 76. March 30, 1999 E-mail from Green to RD's and management re: "Top 50 Prescribers in the Nation [S Elec 0521666 - 0521676]
- 77. March 31, 1999 E-mail from Hart to Frank, Stewart, Bruens & Parra re: Ex-Factory Summary for Q1 [S Elec 0521707 - 0521708]
- 78. March 31, 1999 E-mail from Hart to RD's and management re: "CDC Data by Region" [S Elec 0521811]
- 79. April 7, 1999 E-mail from Hart to Management and RD's re: "Serostim Trends through 2/99" [S Elec 0522064.001-522065.001]
- 80. April 7, 1999 E-mail from Hart to Management and RD's re: "Q1 1999 Ex-Factory Data" [S Elec 0522066.001-052274.001]

81. April 8, 1999 E-mail from Kathleen Ogar to Management including Bruens, Stewart & Hart re: "Serostim February Demand Data [S Elec 0522503.001-0522511.001]
82. April 10, 1999 E-mail from Hart to Frank, Stewart, Bruens, Jordan & Parra re: "Non-Track Data Analysis (DRAFT)" [S Elec 0213526-0213528]
83. April 14, 1999 E-mail from Hart to RD's and Management re: "March Retail Topline" [S Elec 0681392.001]
84. April 23, 1999 E-mail from Valle to Frank, Stewart, Bruens, Hart, Muurahainen re: "MIT SBU Financial Review Meeting" [S Elec 0525254-0525266]
85. May 6, 1999 E-mail from Ogar to Bruens, et al & Hart re: "March Serostim Demand" [S Elec 0218447 - 0218455]
86. May 24, 1999 E-mail from Ogar to Stewart, RD's & Hart re: "April Retail Data [S 0237568 - 0237571]
87. June 3, 1999 E-mail from Ogar to Stewart, RD's & Hart re: "April Non-Retail Data" [S 0237567]
88. Saul Malozowski, M.D. Curriculum Vitae [No bates number]
89. Serostim Final Product label [No bates number]
90. Check Request Form, 3/22/99, with attachments [S ER WOMBL 00027-S ER WOMBL 00034]
91. March 31, 199[9] letter to Dr. Corazon [S 0141399]
92. Check request dated 4/12/99 for Dr. Corazon \$4,000 with copy of check [S 0141397-S 0141398; last page no bates number]
93. March 31, 199[9] letter to Dr. Liskey [S 0141413]
94. Check request dated 4/15/99 for Dr. Liskey with copy of check [S 0141411-S 0141412; last page no bates number]
95. March 31, 199[9] letter Dr. Bellos [No bates number]
96. Check request form dated 4/6/99 [S 0141347 - 0141348] and copy of check [No bates number]
97. Fax cover sheet and April 9, 1999 letter to Dr. Wallach [S 0141345 - 0141346]

98. Check request form dated 4/7/99 and copy of check to Dr. Wallach with "VOID" Check request form dated 4/16/99 [S 0141342] and copy of check [S 0141344]
99. Letter from Dr. Cazen to Michael Moynihan of February 5, 1999 [S 0140679]
100. Copy of check and check stub [S 0141341]
101. Check request form, invoice [S 0141402 - 0141403]
102. Check to Dr. Cazen \$338.90 (Cannes Registration Fee)[S 0141401]
103. Letter marked as "draft" from John Bruens and Norma Muurahainen, M.D. [S Elec 0156932 - 0156934]
104. March 30, 1999 Fax to Gerri Carson with attachment [No bates number]
105. March 31, 199[9] letter to Dr. Cantey [No bates number]
106. April 13, 1999 letter to Dr. Cantey, with filled out fax from Dr. Cantey [No bates number]
107. On the Go Travel Itinerary re: Dr. Cantey [No bates number]
108. Account Profile Dr. Howard Grossman, with handwritten notes [S 0042850]
109. April 5, 1999 Fax to Dr. Howard Grossman [S 00425851-S 0042865]
110. Check to Dr. Wanke, \$4000 [No bates number]
111. Invoice [S 0141334] and Cancelled check [No bates number] to Lawrence Higgins, D.O, M.P.H.
112. Check (cancelled) to Dr. Scolaro, \$4,000 [No bates number]
113. Check (cancelled) to Wilbert Jordan, M.D. [No bates number]
114. Check (cancelled) to Guillermo Santos, M.D.[S 0141333 & No bates number]
115. E-mail April 4 1999 from Bruens via Womble re: "Cannes Physicians" [S 0111376]
116. E-Mails April 5, 1999 to and from Ross Petit to Norma Muurahainen and others [S Elec 304628-304631]
117. E-Mail from Susan Womble to Mark Krueger [S Elec 0680705]

118. E-Mail April 11, 1999 from Mark Krueger to Susan Womble [S 0040172]
119. E-mail April 12, 1999 from Womble to Bruens re: Marketing invitees to Cannes [S Elec 0681345]
120. E-mail April 14, 1999 from Womble to Bruens re: Marketing Invitees To Cannes [S 0933251]
121. E-mail April 12, 1999 from Womble to Husick re: Delegates to Cannes, France [S 0933246-0933249]
122. E-mail April 12, 1999 from Womble re: Gifts [S Elec 0681346]
123. Womble email dated 4/12/99, 5:50 p.m. to Johanna Hosick re: Delegates to Cannes, France with attachment of draft letter [S Elec 0608437-0608441]
124. E-mails, April 12 and 13, 1999, to and from Bruens and Norma Muurahanien, cc: Womble, re: Gifts and dinners in Cannes [S Elec 0681349-0681351]
125. E-mails re: Entertainment Schedule for Cannes, April 7-8, 1999 [S Elec 0681174-1175]
126. E-mail from Krueger to Bruens, 4/14/99, re: Update on Cannes Arrangements [S Elec 0782631 - 0782633]
127. E-Mail from Bruens, 4/15/99, re: gifts [S Elec 0681398]
128. Womble e-mail to M. Krueger, 4/15/99 re: List of Invitees with attachment [S Elec 0681393-0681397]
129. Chart of "Dinner Invitees and Responses," as of 4/16/99 3:00 p.m. [S 0044878-0044879]
130. Check request form [S ER WOMBL 00055-56]
131. American Express Account, closing date: 4/13/99, No. 3783-100384-12000 [S ER Wombl 00057-00068]
132. Statement of Account, No. 3783-100384-12000, 5-14-99 [S ER Wombl 00046-53]
133. Expense Report for John Bruens, period ending 3/20/99 [S Er Bruen 00066-00070]
134. Expense Report for John Bruens, period ending 4/24/99 [S Er Bruen 00095-00118]
135. Expense Report for John Bruens, period ending 5/1/99 [S Er Bruen 00119-00123]

136. Expense Report, John Bruens, week ending 5/18/99 [S ER Bruen 00124-139]
137. Statement of Account, Acct. No. 3787-291634-91002, closing date 5-14-99 [S ER Bruen 00140-00148]
138. Check request form dated 4/27/99 [S 0141405-0141410]
139. Check request form dated 6/8/99 [S Er Wombl 00069 - 0074.4]
140. Jill Cadman fax (5/14/99) and letter (5/4/99) to Sue Womble re: getting reimbursed for Cannes [S 0141337-0141340]
141. Check request form and check/invoice [S 0141335-0141336]
142. Womble email dated April 30, 1999 to Bruens re Cannes update letter to RD, with attachment [S Elec0681662-0681663]
143. Womble e-mail to Scott Lundie, 06/03/99 re: Attendees at Cannes [S Elec 0699533]
144. Invoice No 2880, Mark Krueger & Associates, 5/17/99 [354292-354296]
145. Handwritten notes of John Bruens – Handwritten Notes -(3/13/99) [S 0933124 - 0933126; 0933130 - 0933132; 0933137]
146. E-mail from John Abel, 1/27/99, re: Versatile Training - March [S Elec 0574347]
147. E-mail from John Abel, 2/2/99, re: March Social Styles Class [S Elec 0574348-0574350]
148. E-mail from Dawne Green, 2/17/99, re: Million Dollar Club/Leaders of the Pack [S Elec 0694055 - 0694056]
149. E-mail from Mary Stewart, 2/19/99, re: PRIORITY: January 1998 Business Review S Elec 0694514-0694518]
150. E-mail from Mary Stewart, 3/9/99, January Serostim Demand Data [S Elec 0694858]
151. E-mail from Joyce Aubut, 4/2/99, with reply from Norma Muurahainen, 4/3/99, re: Cannes Physicians [S 0933245]
152. E-Mail from Melissa Vaughn, 3/2/99, re: South Feb Monthly [S Elec 0657813-0657820]
153. E-Mail from Mary Stewart, 5/4/99, re: April Monthly [S Elec 0695956 - 0695957]
154. Bi-weekly report ending 2/10/99 from Melissa Vaughn [S Elec 0679184-0679188]

155. Bi-Weekly report ending 3/24/99 from Melissa Vaughn [S Elec 0658557-0658561]
156. Bi-Weekly report ending March 26, 1999 from Marc Sirockman [S Elec 0649059-0649062]
157. Expense Report from February 13,1999 Joyce Aubut [S Er Aubut 00015-00025]
158. Summary of Corporate Card Account, for period ending 3/15/99, Acct No. 3787-640894-71002 [S Er Aubut 00026-00039]
159. Check dated 4/15/99 to Mary Stewart, with check request form and supporting documents [S Er Stewa 00098-00125]
160. Expense Report for Mary Stewart, 02/28/99 [S Er Stewa 00393- 00412]
161. E-mail from Stewart, 4/26/99 re: March Retail Data [S Elec 0695749-0695750]
162. Frye e-mail to Vaughn dated 2/18/98 re: Performance Review 1997 [S Elec 0631335-0631338]
163. Vaughn email of 2/20/98 to Frye in response to Frye e-mail dated 2/18/98 re: Charleston [S Elec 0631422 - 0631423]
164. Vaughn e-mail dated 2/20/98 to Frye re: Dinner 2/26/98 [S Elec 0631419)]
165. Expense Report: 3/14/99 to 3/20/99 [S ER FRYE 00137 - 00146]
166. E-Mails dated 5/05/99 and 5/8/99 from William Frye to John Bruens re: Dr. Cantey, includes reply by John Bruen to William Billy dated 5/6/99. [S Elec 0681787 - 0681788]
167. E-mail dated 6/2/99 from Frye to John Abel re: Charleston program 6/24/99 [S 0140988]
168. Serono Professional Education Program and Check Request (June 1999) [S 0140987]
169. Department of Veterans Affairs: Acceptance of Gifts . . . signed by Dr. Cantey [no bates number]
170. Original Cannes Brochure (S 0933055-093319)
171. Photographs of Carlton Intercontinental Hotel [No bates number]
172. Photograph of Esplanade President Georges Pompidou [No bates number]
173. Book: "The French Riviera" [No bates number]

174. Check to MUSC \$1,600 6/14/99 [S 0140986]
175. Letter dated 11/24/99 from Poretta & Orr enclosing check re: speaking in Augusta, GA in August 1999 [P&O 000470]
176. Katherine Canavan Daily planners [No bates numbers]
177. Information charging Adam Stupak [No bates number]
178. Adam Stupak Plea Agreement [No bates number]
179. 1996 "Rookie of the Year" Plaque [Physical exhibit]
180. 1997 "Council of Excellence" Plaque [Physical exhibit]
181. January 1999 "Thunderbolts Weekly Update" [S Elec 0678559 - 0678560]
182. Stupak E-mail to Stewart 2/24/99 re: "Strategies for Q1" [S Elec 0694701 - 0694702]
183. Stupak E-mail to Bruens & Stewart 2/26/99 re: "Competitive Update---" [S Elec 0694730]
184. Stupak E-mail to Stewart & Aubut 2/26/99 re: "Monthly" with attachment [S Elec 0694731 - 0694741]
185. Stupak E-mail 3/1/99 re: Q1 [S Elec 0521280-0521281]
186. Stupak E-mail to NY CC's 3/01/99 at 9:51 p.m. Stupak re: Very Important [No bates number]
187. Expense Report 03/01 to 03/06/99: Boston Harbor Hotel receipt [S ER STUPA 00336 - 00339]
188. Stewart E-mail to Stupak 3/02/99 at 12:14 p.m. re: Re: Very Important [S Elec 0694783]
189. Stewart E-mail to Stupak & RD's 3/02/99 at 1:21 p.m. re: \$6M- 6 Day Plan" [S Elec 0212395 - 0212397]
190. Expense Report: 3/21-3/27/1999 w/ attachments [S ER STUPA 00384 - 00404]
191. National Sales meeting Agenda [S Elec 0581556 - 0581660]
192. Bruens Powerpoint at the National Sales Meeting [S 0128135 - 0128177]

193. Jeffrey Lynch Expense Report for week ending 03/06/99 [S ER Lynch 00442-00455]
194. Jeffrey Lynch Expense Report for week ending 04/10/99 [S ER Lynch 00498 - 00501; 00504-00523]
195. Check and stub to Jeffrey Wallach, M.D. dated 4/15/99 [S 0141342]
196. Stewart E-mail to RD's 3/22/99 re: Deadlines/Evaluation Schedule [S Elec 0694945]
197. Stewart E-mail to RD's 3/22/99 re: March NSM Follow-up" [S Elec 0694946 - 0694947]
198. Stewart E-mail to Stupak & RD's 3/26/99 re: January Data [S Elec 0695001 - 0695003]
199. Stewart E-mail to Stupak Re 3/26/99 re: no subject ("Go Empire Thunderbolts") [S Elec 0581797 - 0581798]
200. Stupak E-mail to Stewart 3/29/99 re: Key Accounts with attachment [S Elec 0581799 - 0581803]
201. Stupak E-mail to Stewart 3/31/99 Re: April MT RESEND w/ attachment [S Elec 0581824 - 0581835]
202. Stupak E-mail to Stewart 3/31/99 re: Coming in Q1 [S Elec 0581836]
203. Stupak E-mail to Stewart 4/04/99 re: Action Plan Q2 [S Elec 0581837 - 0581845]
204. Stupak E-mail to Stewart 4/10/99 re: 1999 Action Plan [S Elec 0582007 - 0582013] [S Elec 0581989 - 0581993]
205. May 1, 1999: Memorandum from Stupak to Stewart re: April Monthly Report [S Elec 0582077 - 0582087]
206. Marc Sirockman Performance Appraisal (12/97 to 12/98) [unsigned] [S Elec 0694371 - 0694379]
207. Bruce Olmscheid, M.D. Curriculum Vitae [S 0098814 - 0098819]
208. Letter of Immunity for Konstantine Pinteris [No bates number]
209. Pinteris Expense Report: February 4, 1999 - March 6, 1999 [S ER PINTE 00054 - 00073]
210. Pinteris Expense Report: February 11, 1999 - March 13, 1999 [S ER PINTE 00074 - 00104]
211. Pinteris Expense Report: February 18, 1999 - March 20, 1999 [S ER PINTE 00105 - 00117]



- 212. Copy of check stub [S 0141334] and check to Lawrence Higgins, M.D. [No bates number]
- 213. Immunity Order for Jeffrey Lynch
- 214. Check to Guillermo Santos, M.D. [\$4,000] [No bates number]
- 215. Howard Grossman, M.D., 1999 Appointment Book (w/ blow-ups) [physical exhibit]
- 216. Christine Wanke, M.D. Curriculum Vitae [No bates number]
- 217. Letter of Immunity for Dr. Wanke [No bates number]
- 218. Wanke check and check stub for \$4,000 [WANKE/Serono 00441; Check no bates number]
- 219. Joseph Gertner, M.D., Curriculum Vitae [No bates number]
- 220. Letter of Immunity for Dr. Gertner [No bates number]
- 221. Chart of CMS data [Not yet available]
- 222. Charts of Cannes Expenses [Not yet available]
- 223. Immunity Order for Adam Whitehurst [no bates]
- 224. E-mail from Vaughn to Whitehurst, Hudgens, South team & Stewart 02/21/99 re: Summits 1998 Year in Review [S Elec 0694627-069428]
- 225. E-mail from Vaughn to Whitehurst, Hudgens, South team & Stewart 03/01/99 at 10:06 p.m. re: READ THIS BEFORE YOU GO TO WORK!!!! [S Elec 0694785.001-0694787.001]
- 226. E-mail from Vaughn to Whitehurst, Hudgens, South team & Stewart 03/02/99 at 12:18 a.m. re: READ THIS BEFORE YOU GO TO WORK!!!! [S Elec 0658001.001-0658003.001]
- 227. E-mail from Whitehurst to Vaughn 3/02/99 at 3:22 p.m. re: Key West [S Elec 0657967]
- 228. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/02/99 at 7:44 p.m. re: Jan Retail Data [S Elec 0657962-0657963]
- 229. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/02/99 at 8:06 p.m. re:

URGENT: \$6M- 6 Day Plan [S Elec 0657826.001-0657829]

230. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/02/99 at 8:13 p.m. re: Top 50 Docs [S Elec 0657959-0657960]
231. E-mail from Vaughn to Whitehurst, Hudgens, South team & Stewart 03/02/99 at 11:02 p.m. re: Serostim FIRST LINE! [S Elec 0657957-0657958]
232. E-mail from Vaughn to Whitehurst, Hudgens, South team & Stewart 03/02/99 at 11:25 p.m. re: Oxandrin Targets [S Elec 0657955-0657956]
233. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/03/99 at 12:24 a.m. re: A better understanding... [S Elec 0657989.001-0657991.001]
234. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/03/99 at 12:34 a.m. re: Top 50 files [S Elec 0657831-0657841 }
235. E-mail from Vaughn to Whitehurst, Hudgens, South team & Stewart 03/03/99 at 10:28 a.m. re: Key West [S Elec 0658007-0658008]
236. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/03/99 at 1:38 p.m. re: PLEASE READ IMMEDIATELY - SECOND TAKE: Please disregard the first one [S Elec 0658011-0658012]
237. E-mail from Vaughn to Whitehurst & Stewart 3/3/99 at 1:41 p.m. re: March POA [S Elec 0658014.0001]
238. E-mail from Vaughn to Whitehurst, Hudgens, South team, Stewart & Galinsky 03/03/99 at 02:21 p.m. re: MRS Pending File [S Elec 0521298 - 0521299]
239. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/09/99 at 9:34 a.m. re: Specialty Provider - Dec vs Jan [S Elec 0658050-0658053]
240. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/09/99 at 9:43 a.m. re: January Non-Retail Data [S Elec 0658054-0658057]
241. Vaughn to Stewart South Region Bi-Weekly Update, Week Ending 3/24/99 [S Elec 0658557-0658561]
242. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/29/99 at 11:56 a.m. re: Q 1 Goals/Sales [S Elec 0658665.001-0658666.001]
243. E-mail from Vaughn to Whitehurst, Hudgens & South team 03/31/99 at 1:15 p.m. re: Q 2 Value Propositions [S Elec 0521747- 0521754; 0521779-0521781]

244. E-mail from Vaughn to Whitehurst 4/30/99 re: Dr. Piperato Meeting w/ attachment [S Elec 0662588-0662589]
245. E-mail from Vaughn to Whitehurst 5/3/99 re: "Pip's talk" [S Elec 0677774-067779]
246. E-mail from Whitehurst to Womble 5/31/99 re: Dr. Piperato G&S [S 0141115]
247. E-mail from Vaughn to Stewart, Frank, Whitehurst, Hudgens & South team and others 05/17/99 re: South Bi-monthly ending 5/12/99 [S Elec 0697487.001-0697491.001]
248. Whitehurst Expense Report week ending: 3/06/99 [S ER Whit 00029 - 00045]
249. Whitehurst Expense Report dated 4/18/99 (3 reports) [S ER AWhit 000071-00090]
250. Whitehurst Expense Report week ending: 5/13/99 [S ER 000138-000145]
251. E-mail, \$500 check & stub, Check Request for Piperato talk in May, 1999 [S 0141113 - 0141114]
252. Letter of Immunity for Miguel Catala [No bates number]
253. E-mail from Vaughn to Catala 3/3/99 at 1:44 p.m. re: March POA [S Elec 0658015]
254. Check to Ralph Liporace, M.D., \$2,161.79, dated 2/18/99 [no bates]
255. April 2, 1999 Letter from Bruens to Liporace [S 0046106]
256. Continental Airlines ticket receipt and Itinerary [S Er Aubut 00020]
257. April 8, 1999 letter from Boucher to Liporace re: Speaking engagement: July 6<sup>th</sup> 1999 [S 0141469]
258. Check (front and back) to Ralph Liporace, 5/13/99, \$2,500, with stub [ S 0141466-467]
259. E-mail from Tamir to "Rlhivdr" re: Your trip to Israel, July 1999 [S 0046113]
260. E-mail, check request, check & stub for \$6,000 to Ralph Liporace, M.D. [S Elec 0680686, S 0140942 - 0140941]
261. E-mail from Vaughn to Stewart, Frank, & others 2/20/99 re: Regional Big "Bad" Dog of the Quarter Southeast Summits Q4 1998 [S 0299320 - 0299321]
262. Vaughn E-mail to Hudgens 3/03/99 @ 12:30 a.m. re: READ THIS BEFORE YOU GO TO WORK!!!! [S Elec 0657830]

- 263. Vaughn E-mail to Hudgens & Stewart 3/03/99 @ 1:39 a.m.re: March POA [S Elec 0658013]
- 264. Hudgens Call Activity Log for 3/99 [S Elec 0231292-293; 0231970-0231971]
  - a. Bell South Mobility Telephone Records for Ray Hudgens for the period ending 3/6/99 [no bates number]
- 265. Vaughn e-mail to Hudgens & Stewart 4/01/99 re: Nice Work! [S Elec 0521857]
- 266. Check Request for Dr. Liporace to speak at National Sales Meeting dated 3/19/99 [S 0046108]
- 267. Check Request for Dr. Liporace to speak at PWA Coalition of Broward County dated 3/29/99 [S 0141132]
- 268. Appreciation for Exceptional Performance from Mary Stewart dated 04/29/99 (two pages) [RH 0055 & RH 00012]
- 269. Hudgens Expense Report week ending: 2/26/99 [S ER HUDGE 00148 - 00153]
- 270. Hudgens Expense Report week ending 3/06/99 [S ER HUDGE 00154 - 00157]
- 271. Hudgens Expense Report week ending: 3/20/99 [S ER HUDGE 00163-00173]
- 272. Hudgens Expense Report week ending: 3/27/99 [S ER HUDGE 00174-00189]
- 273. Stewart E-mail to Vaughn & Frank 3/25/99 re: Dr. Liporace Patient Testimonial Video [S Elec 0694986 - 0694987]
- 274. Vaughn E-mail to Stewart, Whitehurst, Hudgens, South team & others 3/26/99 re: South Bi-Weekly ending 3/24 [S Elec 0655379-0655380]
- 275. Immunity Order for John Abel [no bates]
- 276. E-mail from Stewart to Aubut, 4/28/98, re: May Training Class [S Elec 0553574-055380]
- 277. E-mail from Abel to RD, 6/22/98, re: July Training Class [S Elec 0548416]
- 278. E-mail from Abel to Frank and others re: July Training Schedule [S Elec 0548483-8487]
- 279. Chart, "July Training Class" 7/16/98 [S 0051690]

- 280. Basic Training Class Attendees [S 0051668]
- 281. Serono Laboratories, Inc., Hilton Rooming List [S 0051672]
- 282. E-mail from Stewart to Abel, 6/22/98, re: July Training Class [S Elec 0716168]
- 283. E-mail from Abel to RD, 8/27/98 re: September Basic Training [S 0306076-6081]]
- 284. Training Class Attendees [S 0306082]
- 285. Training Class Agenda 9/14-9/18/1998 [S 0052455-2459]
- 286. Part 4 - Advertising and Promotion, 9/17/98 [S 0052436-2453]
- 287. Letter from Abel to Womble, 8/13/98 [S 0052465]
- 288. Letter from Abel to Aromando, 9/4/98 [S 0052470 - 0052471]
- 289. E-mail from Stupak to Sirockman, 9/23/98 re November Basic Training [S 0052608]
- 290. E-mail from Sirockman to Stupak/Abel, 9/24/98 re: November Basic Training [S Elec 0549663]
- 291. E-mail from Abel to August, 1/4/99, re: January Basic Training [S 0052551-2552]
- 292. Training Class Agenda, January 11-14, 1999 [S 0052545-0052549]
- 293. Professional Education Program and Check Request, 4/11/99 [S 0141501]
- 294. Abel Memorandum to Stewart, 4/30/99, re: Mid-Atlantic Region Biweekly Report - Week Ending April 28, 1999 [S Elec 0656696-6701]
- 295. Letter of Immunity for Jeffrey Aromando [No bates number]
- 296. Business Plan 1999 C.C. Territory - Jeffrey Aromando [S Elec 0695175 - 0695179]
- 297. E-mail from Sirockman dated 2/12/99 Re: "Lion of the Month" [S Elec 0693969 - 0693970]
- 298. E-mail from Sirockman dated 2/12/99 re: Q1 Goals w/ attachments [S Elec 0693961 - 0693962]
- 299. E-mail from Sirockman dated 2/25/99 re: Focus Q 1 [S Elec 0694710]

- 300. E-mail from Sirockman dated 2/26/99 re: Conference Call [S Elec 0694724 - 0694725]
- 301. Email from Sirockman dated 3/3/99 8:53 am re: Daily Goals [S Elec 0709032]
- 302. E-mail from Sirockman 3/9/99 re: January Retail Data [S Elec 0709400]
- 303. Expense report for the time period of March 7 - 13, 1999 [S ER AROMA 00178 - 00184]
- 304. Business cards of Jeffrey Aromando and Marc Sirockman [No bates number]
- 305. E-mail from Vaughn to Stewart, 1/18/99, re: Speakers Training in Miami [S 007991-007992]
- 306. E-mail from Vaughn to Stewart, 2/24/99 Re: Q1 Focus-Smokin' South [S Elec 0694695-0694697]
- 307. E-mail from Vaughn to Lundie, 2/26/99, re: FL Advisory Council [S Elec 0657806]
- 308. E-mail from Vaughn to Green, 2/26/99 re: Q4 Big Dog Plaques [S Elec 0657807]
- 309. E-mail from Vaughn to Stewart, 3/1/99, re: Q1 Update [S elec 0657808-809]
- 310. E-mail from Vaughn to Catala, 3/3/99, re: March POA [S Elec 0658015]
- 311. E-mail from Vaughn to Winslow, 3/3/99 re: March POA [S Elec 0658016]
- 312. E-mail from Vaughn to Richards, 3/3/99 re: March POA [S Elec 0658017]
- 313. E-mail from Vaughn to Hopkins, 3/3/99 re: March POA [S Elec 0658018]
- 314. E-mail from Sawin to Regions Directors and Stewart 03/02/99 re: READ NOW! FOCUS! [S Elec 0694794]
- 315. E-mail from Vaughn to Richards, 3/3/99 re: Daily Sales Report [S Elec 0658021]
- 316. E-mail from Vaughn to Stewart, 3/4/99 re: Synopsis of Plan for 3/4 Conference Call [S Elec 0658024]
- 317. E-mail from Vaughn to Richards, 3/10/99 re: Elbert's Office [S Elec 0658060-062]
- 318. Email from Frank to Stewart 03/10/99 re: Net EDI 3/10/99 [S Elec 0521425]
- 319. E-mail from Stewart to Green, 3/10/99 re: Dr. Liporace/Scolaro [S Elec 0694866]

- 320. E-mail from Stewart to Green, 3/11/99, Re: Note for crystal Lion at NSM [S Elec 0694913]
- 321. E-mail from Vaughn to Richards, 3/15/99 re: [S Elec 0658073]
- 322. E-mail from Stewart to Green, 3/25/99 re: Strategic Planning Meeting [S Elec 0694960-961]
- 323. E-mail from Vaughn to Flowers, 3/25/99, re: [S Elec 0658528-0658529]
- 324. E-mail from Vaughn to Stewart, 3/25/99 re: Sales Flash March 23 [S Elec 0658538]
- 325. E-mail from Vaughn to Stewart, 3/25/99 re: March NSM follow-up [S Elec 0658539-541]
- 326. E-mail from Stewart to Vaughn, 3/25/99, re: Dr. Liporace Patient Testimonial Video [S Elec 0694986-987]
- 327. E-mail from Stewart to Vaughn, 3/26/99, re: March NSM follow-up [S Elec 0694994-997]
- 328. E-mail from Vaughn to Stewart, 3/26/99 re: Q1 Goals/Sales [S Elec 0658547]
- 329. E-mail from Vaughn to Lundie, 5/26/99 re: Speaker list for follow-up [S Elec 0663810-3813]
- 330. South Region Q2 Business Plan, April 4, 1999 [S Elec 0662664 - 0662669]
- 331. American Express statement, closing date: February 22, 1999, Acct. No. 3728-689427-47007 [no bates]
- 332. E-mail from Stewart to Sirockman, 3/25/99, re: Q2 Business Plan [S Elec 0694973-0694974]
- 333. E-mail from Sirockman to Sullivan, 2/12/99 re: Q1 Goals [S Elec 0693965-3966]
- 334. E-mail from Sirockman to Englert 2/12/99 re: Q1 Goals [S Elec 0693959-3960]
- 335. E-mail from Sirockman to King, 2/12/99 re: Q1 Goals [S Elec 0693955-3956]
- 336. E-mail from Sirockman to Raymond, 2/12/99 re: Q1 Goals [S Elec 0693963-3964]
- 337. E-mail from Sirockman to Jennings 2/12/99 re: Q1 Goals [S Elec 0693967-3968]

- 338. E-mail from Stewart to Sirockman 2/12/99 re: Q1 Goals [S Elec 0693973]
- 339. E-mails from Sirockman, cc: Stewart, 2/25/99 re: Unimed/NutriCARE [S Elec 0694708-4709]
- 340. E-mail from Sirockman to Stewart, 2/26/99 re: Monthly-February [S Elec 0694744-4752]
- 341. Memorandum from Sirockman to Stewart, 2/26/99 re: Monthly Report [S Elec 0694753-4758]
- 342. E-mail from Stewart to Sirockman, 3/2/99, Re: Strong Finish GREAT DATA! [S Elec 0694784]
- 343. E-mail from Bruens to Norma Muurahainen, 3/8/99, re: Dr. Torres and Cannes [S Elec 0780312]
- 344. Marc Sirockman's Expense Reports for the period 2/18/99-3/20/99 [S ER SIROC 00127 - 00155]
- 345. Vaughn American Express dated May 23, 1998 (Page 3 of 12) [no bates number]
- 346. Expense Report for Melissa Vaughn period ending 3/13/99 [S Er Vaugh 00116 - 00127]
- 347. Expense Report for Melissa Vaughn period ending 3/20/99 [S Er Vaugh 00128-00136]
- 348. Expense Report for Melissa Vaughn period ending 3/27/99 [S Er Vaugh 00137-00147]
- 349. Expense Report for John Abel, period ending 3/6/99 [S Er Abel 00298-00301]
- 350. Memorandum for Fatima Burtch to Rick Schwalbert dated March 5, 1999 [no bates]
- 351. Copy of check stub and front and back of \$4,000 check to Daniel Berger, M.D. [no bates]
- 352. Mary Stewart Expense Report, period ending 8/8/98 [S 0626193-6194]
- 353. Mary Stewart expense report period ending 5/16/98 [ S 0626182]
- 354. Mary Stewart expense report, period ending 9/19/98 [S 0626199-0626202]
- 355. American Express Statement, Vaughn, August 23, 1998 [no bates number]
- 356. American Express Statement, Vaughn, September 23, 1998 (pages, 3, 4 and 5 of 10) [no bates number]
- 357. American Express Statement, Vaughn, January 23, 1999 (pages 3 and 4 of 12) [no bates]



- 358. Sirockman Expense Report, period ending 8/1/98 [S Er 009793-009814]
- 359. E-mail from Bruens to Womble 5/12/99 re: Central Audio Visual/Ray Hudgens/Dr. Liporace [S 0046111]
- 360. Expense Report for William Frye for the period 4/18/99-4/24/99. [S ER Frye 00221-00246].

Respectfully submitted,

MICHAEL J. SULLIVAN  
United States Attorney

By: /s/ Mary Elizabeth Carmody

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Mary Elizabeth Carmody  
Susan M. Poswistilo  
Assistant U.S. Attorneys  
U. S. Attorney's Office  
John Joseph Moakley U.S. Courthouse  
1 Courthouse Way, Suite 9200  
Boston, MA 02210  
(617) 748-3290

CERTIFICATE OF SERVICE

I hereby certify that this document filed through the ECF system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing (NEF).

/s/ Mary Elizabeth Carmody

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Mary Elizabeth Carmody  
Assistant United States Attorney

Date: April 10, 2007